

5

**SIGNALING TRANSPORT PROTOCOL EXTENSIONS
FOR LOAD BALANCING AND SERVER POOL SUPPORT**

10

FIELD OF INVENTION

15 The present invention relates to signaling transport protocol extensions for load balancing and server pool support, and in particular to the provision of a name translation mechanism within such a signaling transport protocol.

20

BACKGROUND OF INVENTION

Fig. 1 shows a signaling transport protocol stack as
25 defined by the Internet Engineering Task Force IETF for the provision of standard signaling connection control part SCCP services and standard message transfer part layer 3 MTP3 services.

1003559-12704

As shown in Fig. 1, on top of the Internet protocol IP there runs a stream control transmission protocol SCTP. The stream control transmission protocol SCTP has been developed to improve the quality of service capabilities which are particularly required for real time communication applications.

As also shown in Fig. 1, on top of the stream control transmission protocol SCTP runs, e.g., the signaling connection control part SCCP user adaptation layer SUA and/or the MTP3 user adaptation layer M3UA according to the SIGTRAN protocol stacks defined by the Internet Engineering Task Force IETF. All these protocols and related implementations are commonly known in the art and therefore will not be explained in detail.

The SIGTRAN protocol stack shown in Fig. 1 is used to transport signaling messages using the common channel signaling system No. 7 - also referred to as CCS No. 7 in the following - over the IP network. Typically, mobile cellular communication networks rely to a very large extent on signaling connection control part SCCP services. Specific examples are the radio access network application part RANAP for third generation cellular mobile communication networks and the base station system application part BSSAP for second generation cellular mobile communication networks. Yet another example for an SCCP service is the transaction capability application part TCAP used by the mobile application part MAP, the intelligent network

application part INAP, and the customized application mobile for enhanced logic CAMEL.

When migrating the common channel signaling system
5 services to an Internet protocol IP backbone
infrastructure, all these protocols must be supported
via SUA/SCTP/IP or SCCP/M3UA/SCTP/IP. However, the
migration of CCS7 SCCP services to an IP backbone
infrastructure leads to problems since these services
10 and their addressing mechanisms originate from a circuit
switched environment. I.e., the SCCP services use either
a destination point code DPC and subsystem numbers SSN
or a global title GT to address specific users.
Therefore, both addressing mechanisms point to a single
15 destination in the network which has been the exact
requirement for the circuit switched world.

To the contrary, the migration to an Internet protocol
IP based backbone network will provide new possibilities
20 to enhance service provisioning. Recently, server pools
have been proposed to increase service availability and
capacity in future mobile cellular communication
networks. Server pools are seen from the outside as a
single logical entity. A user requesting a service from
25 such a server pool does not consider which actual
physical server is going to handle his request.

However, while from the viewpoint of network, e.g.,
characteristics it may be desirable to have an equal
30 load balancing over all servers comprised in the server

pool, existing addressing mechanisms do not support such a load balancing between different servers in a server pool.

- 5 A possible solution using available technologies is to go up to the SCCP protocol level and to carry out the selection on this user layer. Then the request for the handling of a service by a specific server in a server pool must be retransmitted to the selected server. This
10 solution causes an additional data exchange overhead and service provision delay during service execution and consumes additional processing capacity.

15 **SUMMARY OF INVENTION**

In view of the above, an object of the present invention is to achieve an improved support for the introduction of server pools in packet based networks
20 and to achieve transparency for applications.

A further object of the present invention is to achieve simplified operation and maintenance for such packet based networks with server pools.

- 25 According to the present invention this object is achieved by a communication apparatus running a protocol stack implementation for interworking between a signaling source node and a signaling target node,
30 comprising a first protocol implementation unit adapted

to run a signaling control layer of the protocol stack on top of a packet transfer network for exchange of signaling data via at least one destination, a second protocol implementation unit adapted to run a user

5 adaptation layer of the protocol stack on top of the signalling control layer for support of signalling connection control services used by the signaling source node, wherein a name mapping unit is adapted to receive a signaling target node name from the signaling source

10 node and to map the signaling target node name into a destination. Without restricting scope of the present invention the destination of a signaling message exchange may either be a peer signaling association or a transport address.

15 Therefore, with respect to the mapping of the signaling target node name into a peer signaling association as destination the present invention allows to support server pooling and leads to increased system fault

20 tolerance due to the provision of server pools. Additional benefits are dynamic system scalability, i.e. the ability for adding and removal of servers without reconfiguration of remaining servers.

25 In addition, the name translation of a target node name into a peer signaling association supports association handling, i.e. multiple interactions between a client user and a destination server in a server pool having a signaling target name or equivalently a server pool

30 name. Also, the present invention allows for the use of

name mapping related data and services in a distributed manner across an operational scope of the packet transfer network, e.g., the Internet protocol IP network. The name mapping unit itself may in this case
5 be implemented, e.g., as agent in different network nodes.

As server pools are usually addressed on a name basis and the related addresses are then resolved within the
10 inventive name transition mechanism, new servers may register in server pools in an automatic manner leading to a significant decrease of operation administration and maintenance costs since new server addresses must not be administered manually in every node of the
15 Internet protocol IP network.

Further, with respect to the mapping of the signaling target node name into a transport address as destination for use in the packet transfer network it is possible to
20 eliminate addressing mechanisms used for the transport of signaling messages in circuit switched networks. Advantageously, only packet based address mechanisms have to be administered and the need for simultaneous support of circuit and packet switched addressing
25 mechanisms vanishes. Here, any type of packet switched addressing is well within the scope of the present invention, e.g., IP addressing, addressing according to Frame Relay, ATM, STM, etc.. This leads to much lower administrative costs and an easier maintenance of the
30 network.

Further, irrespective of the type of name mapping used,
the present invention achieves real time name
translation, e.g., through provision of local copies of
5 data relating to name mapping in each network node
and/or host.

Yet another important benefit of the present invention
is that upper layer protocols like SCCP and/or MTP3 must
10 not decide on load balancing. This functionality is
encapsulated in the name translation mechanism provided
for the user adaptation layer and thus may be changed
and renegotiated during operation - e.g., depending on
time - without affecting the upper user layer. This
15 leads to a better load balancing within the server pool.

Further, due to the encapsulation of the name
translation mechanism in the lower user adaptation layer
of the protocol stack - e.g., the SIGTRAN stack - the
20 inventive solution is applicable to all user adaptation
layer users.

Still further, the present invention is well adapted to
any type of user adaptation layer, e.g., SCCP user
25 adaptation layer SUA, the message transfer part layer 3
MTP3 user adaptation layer, the ISDN user adaptation
layer IUA, the V5.2 user adaptation layer, etc.

According to a preferred embodiment of the present
30 invention the name mapping unit of the communication

5

10

15

20

30

5 base mechanism such as ENRP/DDP. Yet another alternative
is a table lookup technique.

10 mapping mechanism. In conclusion, the mapping acts as
generic interface capable of interworking with different
name spaces.

15 present invention the target node name resolution unit is of a client/server type responding to name translation requests from signaling source node clients in a local and/or remote manner.

20 The client/server architecture increases fault tolerance
of the target node name resolution unit. Further, it
enables backup server selection or, equivalently, the
implementation of a server hunting and takeover
procedure. Overall, load balancing for a plurality of
25 name mapping processes in the packet transport network,
e.g., the Internet protocol IP network may be improved.

30 is further adapted to consider at least one criterion

10

selected from a group comprising target node capability, target node load and routing criteria association attributes to map the signaling target node name into the peer signaling association.

5

This preferred embodiment of the present invention achieves optimal name mapping in view of criteria that may change over time.

- 10 According to yet another preferred embodiment of the present invention the name mapping unit further comprises a fault management unit adapted to detect an inoperative destination - e.g., peer signaling association and/or an inoperative signaling transport
- 15 address in a peer signaling association or also an inoperative transport address - and to select another destination under the same signaling target node name.

- This preferred embodiment of the present invention is of
- 20 particular advantage during an Internet protocol IP network failure detection and a subsequent automatic recovery. In other words, the name translation service may survive, e.g., in isolated communication islands.

- 25 Also, this feature enables improved mapping data consistency and supports failure mechanisms between different instances, e.g., servers comprised in a server pool.

Further, this preferred embodiment of the present invention may be used to support maintenance operations in the network.

- 5 Similar advantages as outlined above with respect to the communication apparatus are also achieved with the inventive method of running a protocol stack implementation for interworking between a signaling source node and a signaling target node and the method
10 of exchanging signaling messages between a signaling source node and a signaling target node using a name based addressing scheme.

- Still further, the present invention is also provided to
15 achieve an implementation of the inventive method steps on computer or processor systems. In conclusion, such implementation leads to the provision of computer program products for use within a computer system.

- 20 The programs defining the functions of the present invention can be delivered to a computer/processor in many forms, including, but not limited to information permanently stored on a non-writable storage medium, e.g., read only memory devices such as ROM or CDROM
25 discs readable by processors or computer I/O attachments; information stored on writable storage media, e.g., floppy discs and harddrives; or information conveyed to a computer/processor through communication media such as a network and/or telephone network and/or
30 Internet or any other suitable interface devices. It

should be understood that media when carrying processor readable instructions implement the inventive concept to represent alternate embodiments of the present invention.

5

BRIEF DESCRIPTION OF DRAWING

In the following, the best mode of carrying out the invention as well as further advantages, objects and preferred embodiments thereof will be described with reference to the drawing in which

Fig. 1 shows a signaling transport SIGTRAN protocol stack;

Fig. 2 shows a schematic diagram of the signaling connection control part SCCP user adaptation layer SUA comprising a name mapping according to the present invention;

Fig. 3 shows the use of stream control transmission protocol SCTP associations for the exchange of signaling connection control part SCCP messages and a related name mapping mechanism according to the present invention;

30

Fig. 4

shows the use of a data distribution
protocol DDP and the end point name
resolution part ENRP for name
translation within the SCCP user
adaptation layer SUA according to the
present invention;

5

Fig. 5

shows a schematic diagram of the name
mapping unit according to the present
invention;

10

Fig. 6

shows the organization of data
necessary for the translation
according to the present invention
into a suitable data structure, e.g.,
a tree-structure;

15

Fig. 7

shows a schematic diagram of a fully
Internet protocol IP based load
balancing network using the name
translation mechanism according to
the present invention;

20

Fig. 8

shows a failure mechanism between
different instances supporting name
translation according to the present
invention;

25

Fig. 9

shows an example for load balancing

14

using the name based addressing mechanism according to the present invention within an extended SIGTRAN protocol stack;

5

Fig. 10 shows an example for communication via a user adaptation layer according to the present invention;

10 Fig. 11

shows a flowchart for the communication process shown in Fig. 10; and

Fig. 12

15

shows a direct communication via the stream control transmission protocol according to the present invention.

BEST MODE OF CARRYING OUT THE INVENTION

20

In the following, the best mode of carrying out the present invention as well as preferred embodiments thereof, further objects and further advantages will be explained with respect to the drawing. Insofar as different features of the present invention are explained with respect to certain aspects thereof, it is to be understood that these features are combinable with each other to achieve various other modifications and variations of the present invention.

30

100359 12101

Fig. 2 shows a schematic diagram of the signaling connection control part SCCP user adaptation layer SUA according to the present invention. While in the following, reference will be made to this signaling connection control part SCCP user adaptation layer SUA, it should be noted that this explanation is clearly non-restrictive for the present invention and that any type of user adaptation layer is covered by the present invention, e.g., the MTP3 user adaptation layer M3UA according to SIGTRAN protocol stacks or ISDN user adaptation layer IUA. Insofar, as the following description of preferred embodiment refers to the signaling connection control part SCCP user adaptation layer SUA, it should be understood that all these explanations are equivalently applicable to any other user adaptation layer. In addition, the same explanations as well apply to the application of a transport address - e.g., without restricting scope of the invention and IP address - as identification of a signaling target node.

As shown in Fig. 2, the signaling connection control part SCCP user adaptation layer SUA divides in a plurality of application servers AS1, AS2. Each application server is a logical entity serving a range of signaling traffic configured therefor. An example of an application server is a virtual switch element handling all call processing for a unique set of SCCP users. As shown in Fig. 2, the application server contains a set of one or more application server

processes of which one or more application server process is actively processing traffic. The application server processes use a so-called SCTP association shown in the form of multiple small rectangles and explained in more detail with respect to Fig. 3 and 4 in the following.

In more detail, each application process ASP11, ASP12, ... ASP2m serves as an active or standby process of an application server AS1, AS2, e.g., as part of a distributed signaling node or data base element. An application server process ASPij ($i = 1, 2, j = 1 \dots n$ or m) uses an SCCP end point and may be configured to process traffic within more than one application server AS1, AS2 (not shown in Fig. 2).

As also shown in Fig. 2, different application servers AS1, AS2 and application server processes ASP11, ASP12, ... ASP2m are coordinated by a SUA control unit. Further, the SCCP user adaptation layer SUA according to the present invention comprises a name mapping unit receiving a host name from either application server AS1, AS2 in the user adaptation layer SUA for submission to a name translation service implemented in the name mapping unit. The name mapping unit returns information that is required by the user adaptation layer SUA to select one dedicated SCTP association (explained in more detail in the following) towards the requested destination. The return information contains a list of

nodes that are related to the host name received by the name mapping unit.

According to the present invention, it is also proposed
5 to achieve name translation in view of, e.g., the load status of the nodes belonging to the submitted host name and a preferred load sharing algorithm. Based on the information generated by the name mapping unit the user adaptation layer SUA can then decide to which
10 application server process the requested service adaptation layer SUA service should be sent and which SCTP association should be used.

Therefore, according to the present invention it is
15 proposed to modify the service adaptation layer SUA user - e.g., BSSAP or RANAP - in a way to use host name based addressing initiating a new signaling transaction. In the user adaptation layer SUA a translation of the host name is implemented in the name mapping unit. However,
20 it is to be noted that this translation may also be implemented externally from the user adaptation layer SUA as long as a mechanism for exchange of host name and related generated addressing information is provided.

25 The final result of the name translation is a so-called stream control transmission protocol SCTP association identifier that will be used by the user adaptation layer SUA towards the stream control transmission protocol SCTP. Further, the user adaptation layer SUA
30 implementation stores this SCTP association identifier

as belonging to a specific dialogue identified by routing keys uniquely defining a range of signaling traffic to be handled by a particular application server for follow-on messages that belong to this dialogue.

5

Fig. 3 shows further details of a stream control transmission protocol SCTP association for the exchange of signaling connection control part SCCP messages and the relation thereof to the name making mechanism

10 according to the present invention.

As shown in Fig. 3, the user adaptation layer SUA protocol is used for the transport of any SS7 SCCP user signaling (TCAP, RANAP, BSSAP, ...) over IP using the

15 SCTP protocol. It terminates signaling control network SCN signaling and transports SCTP user signaling over IP to an IP signaling end point. Heretofore, a signaling gateway is modeled with at least one application server, which is located, e.g., at the border of SS7 and IP

20 networks. The stream control transmission protocol SCTP protocol layer provides a number of functions such as SCTP association set-up and shut-down, sequence delivery of data streams, data fragmentation, data acknowledgement, congestion avoidance, packet validation

25 and path management.

As also shown in Fig. 3, the stream control transmission protocol SCTP layer supports a SCTP association between SCTP endpoints. Each SCTP endpoint has a list of

30 transport addresses assigned thereto - e.g., multiple IP

addresses - to receive or originate SCTP user protocol data packets. An SCTP association spans transfers over all the possible source address/destination address combinations that may be generated between two SCTP endpoints in view of the related list of transport addresses illustrated as subdivided rectangles in Fig. 3. An SCTP association is initiated on request of the signaling endpoint and maintained permanently and allows to link two SCTP endpoints via multiple routes. Such a link of two SCTP endpoints supports an SCTP signaling stream as non-permanent signaling data exchange via an SCTP association for control processes.

In the following, a peer signaling association is referred to as a set of transport addresses pointing to a group of network destination communication/signaling endpoints registered under the same target name used by SCTP users.

Fig. 4 shows the use of the data distribution protocol DDP and the endpoint name resolution part ENRP for name translation within the SCCP user adaptation layer SUA according to the present invention.

The data distribution protocol DDP shown in Fig. 4 achieves operatively a name based addressing mechanism which isolates a logical communication endpoint from its IP addresses. This effectively eliminates the binding between the communication endpoint and its physical IP addresses.

Further, DDP defines each logical communication destination as a named group, thus achieving full transparency support for server pooling and load sharing. It allows dynamic scalability in that members of a server pool may be added or removed at any time without server interrupt.

Each DDP endpoint uses an ENRP unit to obtain name translation and other related services. Here, the ENRP unit may be implemented as a server program running on a node that manages the name space collectively and replies to service requests from any ENRP client, e.g., the DDP unit. It should be noted that the DDP/ENRP mechanism receiving a destination name from a SUA user - e.g., RNC:RANAP for third generation cellular mobile communication networks - is to be considered as only one example for implementing the general inventive concepts outlined and explained in more detail in the following.

20

Heretofore, Fig. 5 shows a schematic diagram of the name mapping unit interworking with the user adaptation layer SUA.

As shown in Fig. 5, the name mapping unit divides into a mapping data unit and a name resolution unit.

Operatively, the mapping data unit is provided to maintain and support data which is necessary for the name mapping according to the present invention.

Heretofore, an SCTP interface distributes and receives

association attributes and a memory unit maintains local
copies of association attributes. It should be noted
that the storage of such association attributes is not
restricted to a local copy but may be as well achieved
5 at a remote node in the Internet protocol IP network.

As also shown in Fig. 5, the name mapping unit comprises
a name resolution unit with a name translation unit
adapted to map a destination name into a peer signaling
10 association according to a specified algorithm.
According to the present invention, a target node name
may be defined, e.g., through specification of a host
name, a process name, and/or an SCTP end point name.
Further, the algorithm used for the name translation may
15 be freely specified to increase flexibility. Typical
examples for algorithms used for the name translation -
which, however, should not restrict the scope of the
present invention - are a query response data base
algorithm - e.g., according to DDP/ENRP described above
20 - or a table look-up algorithm.

As also shown in Fig. 5, the name resolution unit
comprises a load sharing management unit and a fault
management and maintenance unit adapted to consider at
25 least one criterion selected from a group comprising
target node capability, target node load and routing
policy to map the submitted destination name into the
destination, e.g., a peer signaling association or a
transport address.

Further, the fault management and maintenance unit is adapted to detect an inoperative peer signaling association and/or an inoperative signaling transport address in a peer signaling association to select
5 another signaling transport address under the same destination name to avoid any loss of signaling data. In other words, if a peer signaling association is not available the build-up thereof is triggered. The fault management and maintenance unit may alternatively handle
10 inoperative transport addresses in the same way.

Typical examples for the routing policy - in view of peer signaling associations - supported by the load sharing management unit may be, e.g., round robin,
15 weighted round robin least used, and/or least used with degradation, i.e. increment use value after each use of an IP address. Also a combination of these routing policies lies well within the scope of the present invention.

20 The name mapping unit shown in Fig. 1 as a single entity may as well be realized using a client/server architecture, where a name resolution server responds to name translation requests submitted from clients to
25 achieve a distributed realization of the inventive concept in an Internet protocol IP network.

Fig. 6 shows the organization of data - e.g., in the memory unit of the name mapping unit - for an effective
30 implementation of the name translation process.

As shown in Fig. 6, the data for a name translation may be organized into a tree structure where a destination name points to a plurality of SCTP associations.

- 5 Further, each SCTP association itself points to a plurality of IP addresses that may be used for signaling message exchange between the signaling SCTP source node and a signaling SCTP target node. Further, each SCTP association may also point to a group of criteria for
- 10 use within the name translation process, e.g., the service capability being related to the SCTP association, the load of each IP endpoint defined for the SCTP association, routing criteria, etc.
- 15 It should be noted that the tree structure may be freely extended without any restriction to incorporate further information supporting the name translation process, e.g., the identification of the server implementing the name translation in case the client/server type
- 20 realization of name translation is used.

- The different principles outlined above with respect to an STTP association arrangement into a tree structure as well apply to the use of transport addresses as
- 25 destination. Here, again a tree structure would be built up where the signaling source node designates the designation name or equivalently the signaling target node name. On the first level of the tree there would follow a number of different transport addresses, e.g.,
- 30 Internet addresses, and on the next lower level there

would again be specified capability, load, routing criteria, or other criteria. Examples of such criteria might be the day of time, the time as such, when each IP address is activated, the requested service, the
5 signaling source node, or even criteria for surveillance of specific IP addresses. All this shows that the name translation mechanism is freely configurable achieving utmost flexibility within the framework of the present invention.

10

Fig. 7 shows a schematic diagram of a fully Internet protocol IP based load balancing network using the name translation mechanism according to the present invention.

15

As shown in Fig. 7, the server pool concept being supported by the present invention is applicable, e.g., in a cellular mobile communication network of the third generation. Further, the pooling of servers supports
20 both mobile terminal originating and mobile terminal terminating signaling traffic. Mobile terminal terminating signaling traffic is generated in the pools 1 and 2, while mobile originating signaling traffic is generated via the pool 3. In both cases either network
25 element MSC1, ..., MSC5 or RNC1, RNC2 may use a signaling target name for the exchange of signaling traffic, e.g., pool 1, pool 2, and/or pool 3. If the IP address independent addressing mechanism is used, the name translation mechanism according to the present

invention is supported in the area of the Internet protocol IP network as outlined above.

Therefore, the usual protocol stack needs only one
5 modification, i.e. an interface from the user adaptation layer SUA to a name translation service. As outlined above, name translation in the sense of the present invention requires the reception of a host name and the returning of the name and related server addresses and
10 optionally related supplementary information.

Fig. 8 shows a failure resolving mechanism between different instances supporting name translation according to the present invention.

15 As shown in Fig. 8, within an Internet protocol IP network a plurality of name mapping units may be operated as logical entities implementing the name mapping functionality and having the capability to send
20 and receive name translation requests and related translation results.

As also shown in Fig. 8, each such name mapping unit has a certain operation scope being considered as part of
25 the Internet protocol IP network visible by the name mapping unit.

According to the present invention the name translation mechanism is achieved in redundant way. Assuming that a
30 plurality of hosts for the name mapping are provided in

the Internet protocol IP network, as soon as one host is inoperative - e.g., due to maintenance operation or failure - the takeover mechanisms are initiated to further prosecute name translation requests at a further
5 host.

While Fig. 8 shows the connection of different hosts to a single name mapping data base, the present invention also covers an embodiment, wherein each host has access
10 to its own dedicated name mapping data base, which is of particular use in case name translation has to be achieved in real time.

Another option for the server takeover could be that
15 specific name translation requests are handled by dedicated hosts in the Internet protocol IP network. In such a case, server hunting for the most suitable host in the Internet protocol IP network would take place for the processing of a submitted request for name
20 translation.

Fig. 9 shows an example for the load balancing using the name based addressing scheme in an extended SIGTRAN protocol stack according to the present invention. Here,
25 it should be noted that this example clearly is not to be construed as limiting the scope of the present invention but is only described as an illustration thereof.

As shown in Fig. 9, signaling occurs between a radio network controller RNC - i.e., a base station for a third generation cellular mobile communication network - and the mobile switching center MSC server. The

5 signaling source node is the radio access network application part RANAP of the radio network controller and the signaling target node is the radio access network application part in the MSC server. As also shown, signaling is supported both in the radio network
10 controller RNC and the mobile switching center MSC server using the SUA/SCTP/IP protocol stack and the data distribution protocol/ENRP service described above.

In the following, the signaling message flow between the
15 signaling source node and the signaling target node will be described as follows:

0. As a prerequisite of the SCTP, associations have to be set up and the DDP/ENRP name translation
20 service has to be initialized. Here, the servers in the different server pools must register with their host name in the name translation service DDP/ENRP.

1. The radio access network application part RANAP
25 will then issue an initial message INITIAL_UE_MESSAGE to start up a new RANAP connection. For this new RANAP connection an SCCP connection oriented service is necessary so that the SCCP primitive CONNECTION_REQUEST (abbreviated
30 as CORE in Fig. 9) is used by the radio access

application part RANAP to send the
INITIAL_UE_MESSAGE to the core network. Here, the
destination is specified, e.g., by the hostname
pool 1 shown in Fig. 8. It should be noted that
5 while the introduction of a name based addressing
scheme for the user adaptation layer SUA construes
an extension to existing standards this extension
has not effect on known addressing schemes- e.g.,
(DPC & SSN, GT) - so that backward compatibility is
10 guaranteed.

2. The user adaptation layer SUA interrogates the name
translation service to translate the hostname to an
SCTP association, i.e. to real IP addresses. For
15 the example shown in Fig. 9 this is achieved by
sending a name request message to the - e.g., local
- DDP/ENRP server. The request comprises the
hostname parameter received from the radio access
network application part RANAP while the DDP/ENRP
20 server uses a set of parameters with respect to
this hostname including current load sharing
policy, load level, routing values and IP
addresses, as outlined above.

25 3. If the submitted hostname is known in the DDP/ENRP
server, it replies with a name information messages
containing all SCTP endpoints that are registered
under that hostname. In the name information
message each SCTP endpoint is identified by IP

addresses for the SCTP association and a related routing policy.

4. The user adaptation layer SUA now has all
5 information how to reach the peer signaling association. Therefore, user data is forwarded to the SCTP association using an SCTP sent primitive.
5. The stream control transmission protocol SCTP unit
10 hands the data over to the Internet protocol IP layer.
6. The Internet protocol IP layer forwards the submitted data to the signaling target node.
7. At the signaling target node the received data is handed over to the related stream control transmission protocol SCTP unit.
8. The data arrive primitive informs the MSC SCTP user
20 SUA about the arrival of the new data. It contains the related SCTP association identification together with the message stream identifier.
9. After notification by the stream control
25 transmission part SCTP the user adaptation part SUA uses the received primitive to fetch the new data. It should be noted that no name translation must be performed at the receiving side.

10. The payload INITIAL_UE_MESSAGE is finally forwarded to the application server process, i.e. the radio access network application part RANAP at the signaling target node in the CONNECTION_REQUEST (CORE) message. A CONNECTION_ACKNOWLEDGE message then follows the same SCTP association which is now linked on both the signaling source node side and the signaling target node side.
- 10 Figs. 10 and 11 show another example of the application of the name-based addressing scheme to the exchange of signaling information according to the present invention.
- 15 In more detail, Figs. 10 and 11 illustrate a method of exchanging signaling messages between a signaling source node, i.e. the client on a right side in Fig. 10, and a signaling target node, i.e. the server on the left side in Fig. 10. For the particular example shown in Fig. 10
- 20 the communication processes rely on the stream control transmission protocol SCTP for initialization of a signaling method. The exchange of signaling messages is achieved using the MTP3 user adaptation layer.
- 25 As shown in Figs. 10 and 11, at the beginning (step S1) there is established an initialization communication link from the client to the server using a predetermined transport address to the server through exchange of an INIT() request between the client and the server and a
- 30 related acknowledgement INIT_Ack() back to the client.

The result of this initialization step is an establishment of an association from the client towards the server.

5 As also shown in Figs. 10 and 11, after successful establishment of such an association both sides may exchange their related signaling source and target name (step S2) equivalently referred to as endpoint names using the ASP_UP() and ASP_UP_Ack() statements, respectively. Here, one or more endpoints and their related associations may be assigned to a single group so that the ASP_UP and ASP_UP_Ack() statements are as well adapted to the exchange of related group identifications.

15 As also shown in Figs. 10 and 11, on the basis of the exchange endpoint names, name mapping (step S3) may then be achieved either only on the server side or the client side, or on both sides by carrying out an access to a name resolution data base. Of course, prior to each such name resolution there is carried out a registration of endpoint names/groups and related IP addresses and port numbers into the name resolution data base for subsequent access thereto.

25 As also shown in Figs. 10 and 11, optionally (step S4) there may be exchange routing contact information between the client and the server using the ASP_AC() and ASP_AC_Ack() instructions, respectively.

30

Having achieved the establishment of the association towards the server and the related exchange of endpoint names and endpoint name resolution, what follows is the start of signaling traffic between the client and the
5 server (step S5 in Fig. 11). As shown in Fig. 10, hereby the name-based addressing scheme may be used both for call routing and the routing of signaling connection control part SCCP messages.

10 Further, while not shown in Fig. 10 it is also possible to multiplex a plurality of endpoints onto one SCTP association, so that endpoint names may be used to establish a signaling network on the stream control transmission protocol SCTP and the M3UA layer
15 automatically when signaling source and target nodes are started/restarted.

While according to the example, endpoint names are used to identify application server processes, an alternative
20 would be to use names for host names that may be resolved via a transport address, e.g., an IP address and related port numbers. As already outlined above, it is also possible to define a group of endpoint names or a group of host names.

25

For the example shown in Fig. 10 the name resolution will not be known by the call processing but is instead handled by the lower layers, as already outlined above, so that the impact on existing call processing is
30 minimal when, e.g., SIGTRAN is used. Specific non-

limiting examples of the coupling of the inventive name-based addressing scheme and existing call processing according to the present invention, e.g., according to the signaling system No. 7, are the replacement of the destination point code DPC in route data either by endpoint names or related groups when M3UA is used or by host names or related groups when SCTP is used. This information is given by the user of the related protocol level when a signaling message is to sent for subsequent determination of a SCTP association for exchange of signaling messages. Here, different associations belonging to the same group of endpoints or host names may be used for a load sharing mode or to achieve redundancy.

Yet another alternative according to the present invention as illustrated in Fig. 10 is the exchange of the routing context in M3UA between both sides. Also this information may be used to find an association, i.e. through a matching of the routing contact; this saves processing time in comparison to a routing where information from the MTP3 layer is used.

A further example of the application of the inventive name-based addressing mechanism is illustrated in Fig. 12 and relates to an exchange of signaling information between a client and a server on the basis of a direct communication via the stream control transmission protocol.

As shown in Fig. 12, for this communication scenario there is provided a signaling transfer converter STC_{SCTP} between the users and the SCTP layer both at the client and server side.

5

Similar to the example explained with respect to Fig. 10, care has to be taken that before exchange of signaling information host names - i.e., nodes to which access is achieved via a transport address - or a related group of host names are registered in the name resolution data base. Here, the client sides get the IP addresses and port numbers of the server side through a data base query with host names (or related groups) which it receives via an INIT_Ack() command after having submitted its own host name to the server side using an INIT() command. According to the example shown in Fig. 12, a host name may be considered as an endpoint name being related to an application server process ASP and a host name group may be considered as name for an application server. As already outlined above, a predetermined target node address is used by the client to set up an initialization communication link before establishing the association to the server.

25 While in the above, the present invention has been described with reference to the drawing and figures of preferred embodiments of the invention, it should be noted that clearly the present invention may also be implemented using the methods according to the present invention in a digital way using a microprocessor. In

30

this case, the present invention may be embodied as a computer program product directly loadable into the internal memory of the processor comprising software code portions for implementing the inventive concepts
5 outlined above.

Further, it is understood that other modifications will be apparent, too, and can be readily made by those skilled in the art without departing from the scope and
10 spirit of the present invention, e.g., functionalities described above may be realized in software, in hardware, or in combination thereof. Accordingly, it is not intended that the scope of the claims appended hereto is limited to the description as set forth
15 herein, but rather that the claims should be construed so as to encompass all features of patentable novelty that preside in the present invention, including all features that would be treated as equivalent thereof by those skilled in the art to which the present invention
20 pertains.

10023459-1210